

**PI Certifications for NASA Contract Funding
For**

UCR PI		Department
UCR Proposal Number	Sponsor Award Number	Sponsor/Prime Sponsor
Project Title		

UCR Sponsored Programs Administration (SPA) is in the process of reviewing and establishing your Award in the UCR Proposal & Award Management Information System (PAMIS).

To help UCR comply with the following NASA restrictions and requirements identified below, the UCR PI for this Award is requested to read the following sections and answer the related questions.

1. **Personal Identity Verification of Recipient Personnel (dated DEC 2014)**
2. **Restrictions on Funding Activities with China**

1. Personal Identity Verification of Recipient Personnel (Dec 2014)

(a) The Recipient shall comply with agency personal identity verification procedures identified in the contract that implement Homeland Security Presidential Directive-12 (HSPD-12), Office of Management and Budget (OMB) guidance M-05-24 and Federal Information Processing Standards Publication (FIPS PUB) Number 201.

(b) The Recipient shall account for all forms of Government-provided identification issued to the Recipient employees in connection with performance under this contract. The Recipient shall return such identification to the issuing agency at the earliest of any of the following, unless otherwise determined by the Government:

- (1) When no longer needed for grant performance.*
- (2) Upon completion of the Recipient's employee's employment.*
- (3) Upon grant completion or termination.*

(c) The Grant Officer may delay final payment under a grant if the Recipient fails to comply with these requirements.

(d) The Recipient shall insert the substance of this clause, including this paragraph (d), in all subcontracts or subagreements when their employees are required to have routine physical access to a Federally controlled facility and/or routine access to a Federally-controlled information system. It shall be the responsibility of the Recipient to return such identification to the issuing agency in accordance with the terms set forth in paragraph (b) of this section, unless otherwise approved in writing by the Grant Officer.

[End of Provision]

The "Personal Identity Verification of Recipient Personnel" provision requires individuals to go through a NASA background check before accessing any federally-controlled facility or a Federal information system. This is typically not a problem, however the background checks that are performed under this condition are different for U.S. citizens and foreign nationals. Foreign nationals are required to go through additional background checks above and beyond that of a U.S. citizen and such additional background checks based on race or origin do not comply with the UC's required Anti-Discrimination policies.

Therefore, in order to determine and ascertain UCR's compliance with NASA requirements and UC policies, please answer the following questions below:

a. Will anyone working on this project, in any capacity, need to access any federally-controlled facility or a federal information system during the course of this project?

Yes **No**

If the answer to 'a' is "No" please continue on to section 3.

b. If the answer to 'a' is "Yes", are any of these individuals foreign-born?

Yes **No**

If the answer to 'b' is "No" please continue on to section 3.

If the answer to 'b' is "Yes" please read the text below and sign.

In compliance with Anti-Discrimination policies, UC cannot agree to have student or employee foreign nationals subjected to additional background checks based on the race or national origin. The same policy prohibits the use of place of birth as criteria for selection to participate on a project. The University of California has determined that in order to comply with UC Anti-Discrimination polices while maintaining compliance with NASA agreement, only PIs should access any needed Federal facilities/information systems when there is a foreign-born student or employee also participating on a project.

"Because my NASA-funded project employs individuals born outside of the United States, I agree that I, the PI, will be the only individual to access federally-controlled facilities and federal information systems during the implementation of this project."

PI Name	Signature	Date
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Please proceed to the next page.

2. 1852.225-71 Restrictions on Funding Activities with China¹

(a) Definition - "China" or "Chinese-owned company" means the People's Republic of China, any company owned by the People's Republic of China or any company incorporated under the laws of the People's Republic of China.

(b) Public Laws 112-10, Section 1340(a) and 112-55, Section 539, restrict NASA from contracting to participate, collaborate, coordinate bilaterally in any way with China or a Chinese-owned company using funds appropriated on or after April 25, 2011. Contracts for commercial and non developmental items are exempted from the prohibition because they constitute purchase of goods or services that would not involve participation, collaboration, or coordination between the parties.

(c) This contract may use restricted funding that was appropriated on or after April 25, 2011. The contractor shall not contract with China or Chinese-owned companies for any effort related to this contract except for acquisition of commercial and non-developmental items. If the contractor anticipates making an award to China or Chinese-owned companies, the contractor must contact the contracting officer to determine if funding on this contract can be used for that purpose.

(d) Subcontracts - The contractor shall include the substance of this clause in all subcontracts made hereunder.

When submitting proposals and accepting NASA contracts, the University is required to accept the NASA clause regarding: "Restrictions on Funding Activities with China." In order to assure compliance, the UCR PI is required to provide the certification below:

In accordance with the above, as Principal Investigator, I certify that I will not enter or cause The Regents to enter into a bilateral contract or subcontract with The People's Republic of China (PRC), any company owned by the People's Republic of China or any company (including a Chinese university) incorporated under the laws of the People's Republic of China (including Hong Kong and Macau, but not Taiwan) to perform the scope of work of the NASA prime contract identified on page 1 above, whether or not such contracts or subcontracts are funded by the NASA prime contract. (Vendor agreements for acquisition of commercial and non-developmental items are excluded from this certification.)

PI Name	Signature	Date
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¹ As of 9/30/13